

Privacy Preserving Technologies and Open Sourced Projects: Challenges and Opportunities

Wenhui Zhang , Bytedance Inc.
Chinmay Shah , OpenMined Org.
Callis Ezenwaka, Barewave



Privacy Preserving Technologies and Open Sourced Projects: Challenges and Opportunities

- Review the current privacy preserving technologies
- Summarize the popular open source projects
- Review both current and in progress standard/regulations/law
- Discuss challenges and opportunities for production



Privacy Preserving for Federated Learning



Growing Demand of Privacy Enhancing Computing

“By 2025, 60% of large organizations will use one or more privacy enhancing computation techniques in analytics, business intelligence or cloud computing.”

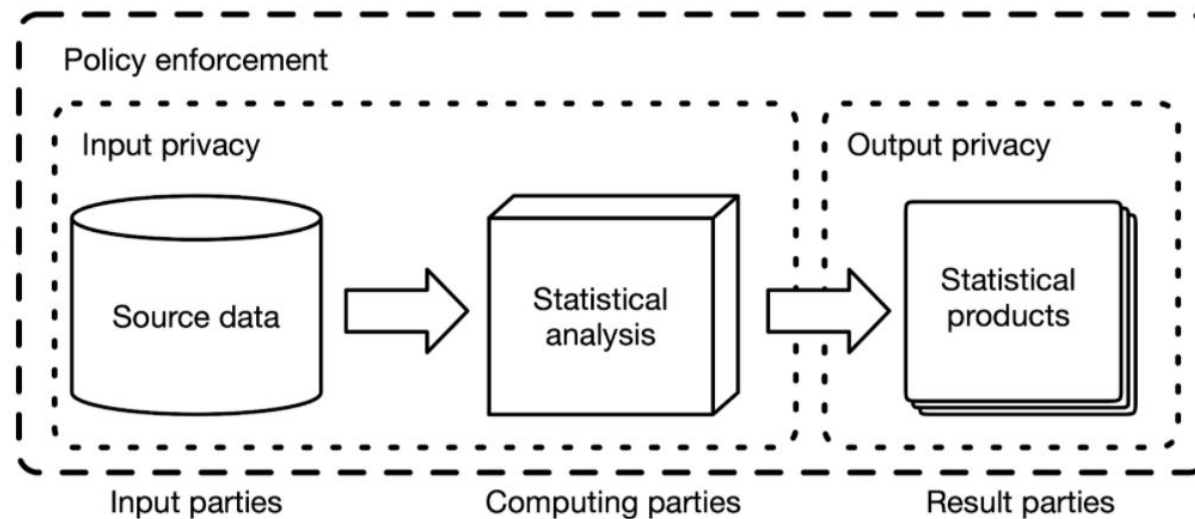
Source: Gartner

Increasing Need for Federated Learning



Privacy Goals for Federated Learning (e.g. Statistics and Machine Learning)

No data leak, constrain the sensitive data to authorized entities.



Source: UN Handbook for Privacy Preserving Techniques



Open Source Frameworks for Federated Learning

1. Federated AI Technology Enabler, Webank Fin tech ,
<https://github.com/OpenFederatedLearning/FATE>
<https://github.com/OpenFederatedLearning/eggroll>
2. FedML, USC, <https://github.com/OpenFederatedLearning/FedML>
3. Fedlearner, Bytedance, <https://github.com/OpenFederatedLearning/fedlearner>
4. Harmonia, AL Labs Taiwan, <https://github.com/OpenFederatedLearning/harmonia>
5. Openfl, Intel, <https://github.com/OpenFederatedLearning/openfl>
6. PaddleFL, Baidu, <https://github.com/OpenFederatedLearning/PaddleFL>
7. PySyft, OpenMined, <https://github.com/OpenFederatedLearning/PySyft>
8. Tensorflow Federated, Google, <https://github.com/OpenFederatedLearning/federated>
9. 9NFL, Jingdong, <https://github.com/OpenFederatedLearning/9nfl>



Privacy Preserving Techniques



Privacy Preserving Techniques

Privacy preserving technique allows sharing sensitive personal information while preserving users' privacy. Below are the technologies used in Federated Learning:

1. Anonymization (weakest)
2. Differential Privacy
3. Fully Homomorphic Encryption (FHE)
4. Zero Knowledge Proof
5. Secure Multi-Party Computation (MPC)
6. Confidential Computing through TEE (e.g. SGX and TrustZone)

Federated Learning Stack

Client

Server

Anonymization

Differential Privacy

Zero Knowledge Proof

Fully Homomorphic Encryption

Secure Multi-Party Computation

Trusted Execution Environment



Anonymization

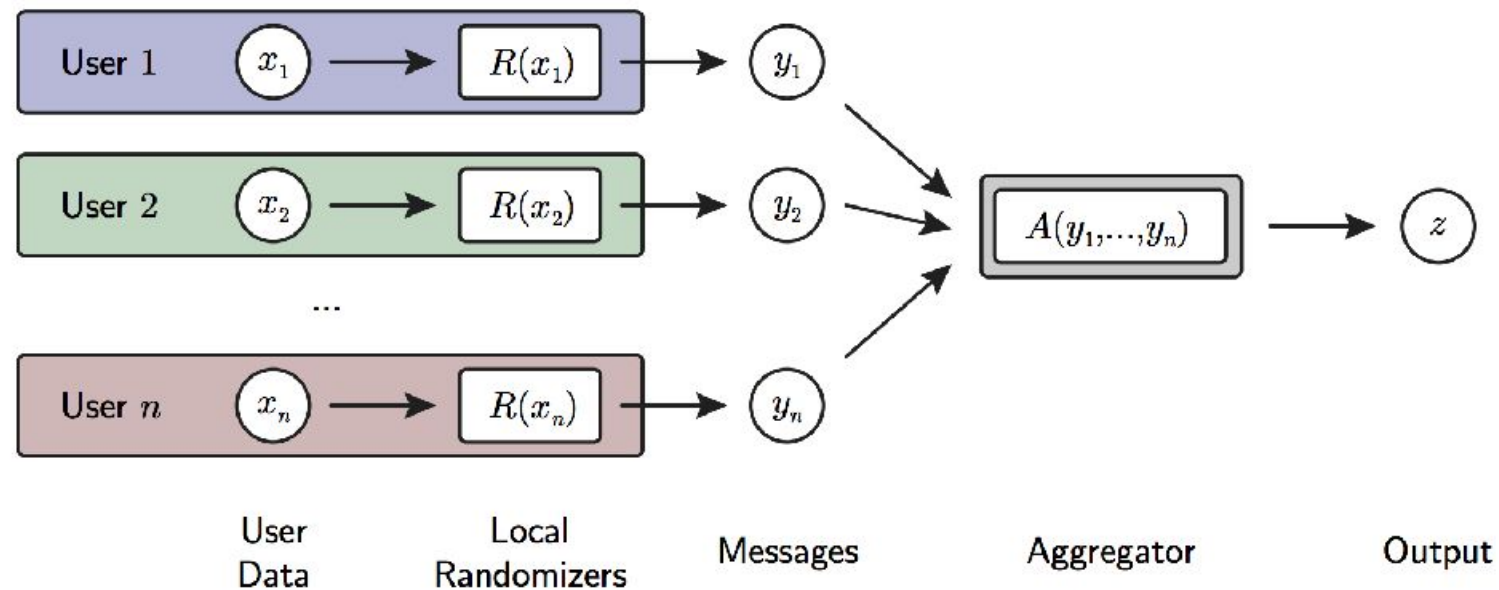
The goal of anonymization is to anonymize data so that the data could not be associated with any one individual.

- Data Generalization (achieve k-anonymity and l-diversity):
 - k-anonymity: General a data property, so that in the group the data belongs to, at least k-1 individuals who have the same properties.
 - l-diversity: Anonymized data set for its' sensitive attributes.
- Adding Noise to Data:
 - By adding mathematical noise to data, make it difficult to ascertain whether any one individual is part of a data set.



Differential Privacy

Differential Privacy provides output privacy for statistics and databases.



Open Source Projects of Differential Privacy

1. Diffprivlib, built and supported by IBM, is also designed for data scientists, but is slightly more focused on machine learning tasks.
<http://www.bipr.net/diffpriv/>
2. Google has Tensorflow privacy, a library for training machine learning models with privacy for training data.
<https://github.com/tensorflow/privacy>
3. Uber use have SQL-diff for dataflow analysis & differential privacy for SQL queries.
<https://github.com/uber-archive/sql-differential-privacy>
4. PING by Microsoft, is a SQL query engine with differential privacy preserved.
<https://www.microsoft.com/en-us/research/project/privacy-integrated-queries-pinq/>
5. PSI is a framework for pipelined differential privacy analysis, <http://psiprivacy.org/static/about/index.html>
6. PipelineDP is a framework developed by OpenMined, for performing Differentially private data aggregation <https://pipelinedp.io/>
7. OpenDP is a community-supported set of tools designed for data scientists. It includes implementations of many of the tools we have discussed in this series. <https://github.com/OpenFederatedLearning/smartnoise-samples>



Fully Homomorphic Encryption

Homomorphic Encryption cryptosystem is a cryptosystem whose decryption is a morphism.

$$\text{Decrypt}(a*b) = \text{Decrypt}(a) * \text{Decrypt}(b)$$

Homomorphic Encryption cryptosystem allows operate on ciphertexts without decryption.

It ensures end-to-end semantically secure, which is ensuring security against honest but curious adversaries.

Different from confidential computing, FHE takes a software-based data encryption/protection.

Since FHE does not perform computational processing in Trusted Execution Environment (TEE), and unauthorized access or modification of data and application code during processing might occur. Thus, FHE does not support application code integrity nor code confidentiality.



Fully Homomorphic Encryption

1. Pre-FHE, where operations are limited for unbounded homomorphic encryption operations
 - RSA cryptosystem (unbounded number of modular multiplications)
 - ElGamal cryptosystem (unbounded number of modular multiplications)
 - Goldwasser–Micali cryptosystem (unbounded number of exclusive or operations)
 - Benaloh cryptosystem (unbounded number of modular additions)
 - Paillier cryptosystem (unbounded number of modular additions)
 - Sander-Young-Yung system (after more than 20 years solved the problem for logarithmic depth circuits)
 - Boneh–Goh–Nissim cryptosystem (unlimited number of addition operations but at most one multiplication)
 - Ishai-Paskin cryptosystem (polynomial-size branching programs)
2. First-generation FHE, based on lattice model, however "limited to evaluating low-degree polynomials over encrypted data" [3] .
 - Marten van Dijk, Craig Gentry, Shai Halevi and Vinod Vaikuntanathan (idea lattice)
3. Second-generation FHE, based on RLWE and NTRU related problem.
 - The Brakerski-Gentry-Vaikuntanathan (BGV, 2011) scheme.
 - NTRU-based scheme by Lopez-Alt, Tromer, and Vaikuntanathan (LTV, 2012).
 - The Brakerski/Fan-Vercauteren (BFV, 2012) scheme, on Brakerski's scale-invariant cryptosystem.
 - The NTRU-based scheme by Bos, Lauter, Loftus, and Naehrig (BLLN, 2013), building on LTV and Brakerski's scale-invariant cryptosystem;
4. Third-generation FHE
 - Craig Gentry, Amit Sahai, and Brent Waters (GSW), on building FHE schemes that avoids an expensive "relinearization" step in homomorphic multiplication.
 - FHEW (2014), ring variants of the GSW cryptosystem
 - TFHE (2016), ring variants of the GSW cryptosystem
 - CKKS scheme, focuses on machine learning, conducts efficient rounding operations in encrypted state.



Open Source Projects of Fully Homomorphic Encryption

Name	BGV	BFV	FHEW	TFHE	CKKS	CKKS Bootstrapping
Concrete				Yes		
EVA					Yes	
FHEW			Yes	Yes		
FV-NFLlib		Yes				
HeaAn					Yes	Yes
HElib	Yes				Yes	
Lattigo		Yes			Yes	Yes
Microsoft SEAL		Yes			Yes	
NuFHE				Yes		
PALISADE	Yes	Yes	Yes	Yes	Yes	
TFHE				Yes		
$\Lambda \circ \lambda$	Yes	Yes				

Zero Knowledge Proof

Zero Knowledge Proofs provides the ability to prove honest computation without revealing inputs.

A zero-knowledge proof has three salient properties:

- **Completeness:** If the statement is true and both the prover and the verifier follow the protocol; the verifier will accept the proof.
- **Soundness:** If the statement is false, and the verifier follows the protocol; the verifier will not be convinced by the proof.
- **Zero-knowledge:** If the statement is true and the prover follows the protocol; the verifier will not learn any confidential information from the interaction with the prover except that the statement is true.

Usecase of Zero Knowledge Proof at Edge

- checking that taxes have been properly paid by some company or person;
- checking that a given loan is not too risky;
- checking that data is retained by some record keeper (without revealing or transmitting the data);
- checking that an airplane has been properly maintained and is fit to fly.

In many of the above auditing and compliance checking scenarios the underlying computation is a data analysis algorithm. Thus zero knowledge enables proofs that a given output is the output of a correct data analysis on some sensitive input data.

Source: UN Handbook for Privacy Preserving Techniques <https://docs.google.com/document/d/1GYu6UJI81jR8LgooXVDsYk1s6FIM-SbOvo3oLHglFhY/edit#>



Open Source Projects of Zero Knowledge Proof

- SNARKs (Succinct Non-Interactive Argument of Knowledge)
 1. Zokrates a great SNARK domain specific language (DSL) for generating proofs and validating them on Ethereum <https://github.com/ZeroKnowledgeProof/ZoKrates>
 2. Bellman Rust implementation <https://github.com/ZeroKnowledgeProof/bellman>
 3. Snarky OCaml implementation (DSL) <https://github.com/ZeroKnowledgeProof/snarky>
 4. Libsnark C++ <https://github.com/ZeroKnowledgeProof/libsnark>
 5. Iden3's Circum (DSL) & SnarkJS Javascript Implementation <https://github.com/ZeroKnowledgeProof/circom>
 6. Republic Protocol's zksnark-rs (DSL) Rust implementation <https://github.com/ZeroKnowledgeProof/zksnark-rs>
 7. DIZK Java Distributed system <https://github.com/ZeroKnowledgeProof/dizk>
 8. Go-SNARK zkSNARK library implementation in Go <https://github.com/ZeroKnowledgeProof/go-snark-study>
- STARKs
 1. C++ implementation <https://github.com/ZeroKnowledgeProof/libSTARK>
- Bulletproofs
 1. Benedikt's Bunz Java implementation <https://github.com/ZeroKnowledgeProof/BulletProofLib>



Secure Multi-Party Computation

- Garbled Circuit(Andrew Chi-Chih Yao, FOCS'86) -> high bandwidth, high latency
- Secret Sharing(Shamir and Blakley 1979) -> low computation, low communication
- Oblivious Transfer (Rabin 1981) -> sent n msg, receiver receive one of them, could also be used in private set intersection, private information retrieval



Open Source Projects of Secure Multi-Party Computation

- ABY/ABY3 - 2PC/3PC with secret sharing and garbled circuits <https://github.com/encryptogroup/ABY> <https://github.com/ladnir/aby3>
- BatchDualEx - 2PC with garbled circuits <https://github.com/osu-crypto/batchDualEx>
- Carbyne Stack - MPC with Kubernetes, Istio, and Knative <https://carbynestack.io/>
- CrypTen, Facebook, MPC in PyTorch <https://github.com/facebookresearch/CrypTen>
- EMP-toolkit - 2PC and MPC with garbled circuits <https://github.com/emp-toolkit>
- Fancy-Garbling - 2PC with arithmetic garbled circuits in Rust <https://github.com/spaceships/fancy-garbling>
- FRESCO - MPC supporting TinyTables or SPDZ protocols <http://fresco.readthedocs.io/en/latest/>
- HoneyBadgerMPC - confidentiality layer for blockchains for output delivery <https://github.com/initc3/HoneyBadgerMPC>
- JIFF - JavaScript <https://github.com/multiparty/jiff/>
- MPyC - BGW <https://www.win.tue.nl/~berry/mpyc/>
- Obliv-C - 2PC with garbled circuits; secure against semi-honest adversaries. <http://oblivc.org/>
- Obliv-Java - Faithful reimplementation of Java using Obliv-C. <https://github.com/Calctopia-OpenSource/jdk10u>
- Rosetta - 3PC TensorFlow <https://github.com/LatticeX-Foundation/Rosetta/>
- MOTION - Mixed-Protocol MPC framework supporting full-threshold boolean and arithmetic GMW and BMR <https://github.com/encryptogroup/MOTION>
- MP-SPDZ - SPDZ, SPDZ2k, MASCOT, Overdrive, BMR garbled circuits, Yao's garbled circuits, and computation based on three-party replicated secret sharing as well as Shamir's secret sharing <https://github.com/data61/MP-SPDZ>
- Sharemind - 2PC or 3PC with secret sharing <https://sharemind.cyber.ee/>
- Tf-encrypted - 3PC with secret sharing on TensorFlow-based applications. <https://github.com/tf-encrypted/tf-encrypted>



Confidential Computing through TEE

Confidential Computing leverages hardware-based Trusted Execution Environments (TEE) to protect data in use.

It preserves: (defined by CCC)

- “Data confidentiality: Unauthorized entities cannot view data while it is in use within the TEE.”
- “Data integrity: Unauthorized entities cannot add, remove, or alter data while it is in use within the TEE.”
- “Code integrity: Unauthorized entities cannot add, remove, or alter code executing in the TEE.”

Source: Confidential Computing Consortium



Open Source Projects of Confidential Computing

- Projects on Intel SGX:
 1. SGX SDK with samples: <https://github.com/intel/linux-sgx>
 2. Gramine Build: <https://gramine.readthedocs.io/en/latest/devel/building.html>
 3. Open Enclave SDK: <https://github.com/openenclave/openenclave>
 4. MesaTEE, <https://github.com/ConfidentialComputing/incubator-teaclave>
 5. Fortanix TEE, <https://github.com/ConfidentialComputing/rust-sgx>
 6. Enclave Development Platform (EDP), Fortanix <https://edp.fortanix.com/>
 7. Google Aslyo TEE, <https://asylo.dev/>
 8. Anjuna Redis: <https://docs.anjuna.io/apps/redis/installing.html>
 9. Anjuna: <https://docs.anjuna.io/anjuna-runtime/anjuna-documentation/latest/index.html>.
 10. EGo, Edgeless <https://www.ego.dev/>
 11. MarbleRun, Edgeless <https://marblerun.sh/>
 12. EdgelessDB, Edgeless <https://www.edgeless.systems/products/edgelessdb/>



Open Source Projects of Confidential Computing

- Projects on RISC-V TEE
 1. Penglai MPU (sPMP) <https://penglai-enclave.systems/>
 2. Keystone, <https://keystone-enclave.org/>
 3. OpenTitan, ePMP for RoT chain https://docs.opentitan.org/sw/device/silicon_creator/mask_rom/docs/memory_protection/
 4. ibex core supports ePMP , and Seagate is using ePMP on their cores even before it was ratified. <https://github.com/lowRISC/ibex>
 5. SiFive provides cores with PMP, similar to IOPMP. <https://forums.sifive.com/t/pmp-registers-and-user-mode/3448>
 6. HexFive developed multizone, <https://github.com/ConfidentialComputing/multizone-sdk>
 7. OP-TEE, RISC-V version https://archive.fosdem.org/2021/schedule/event/tee_teep/
 8. RISC-V AP-TEE, <https://github.com/riscv-admin/trusted-computing/tree/main/specifications/AP-TEE>



Summary

Technology	Performance	Generability	Security	Description	Maturity	Suggested for Production
Anonymization	High	High	Medium	depends on noise level and data	High	Yes
Differential Privacy	High	Low	Medium	depends on noise level and data	Increasing	No
Fully Homomorphic Encryption	Low	Medium	High	high cost of computation and low cost of communication	Increasing	No
Zero Knowledge Proof	Low	Low	High	used in secure authentication protocols	Increasing	Yes
Secure Multi-Party Computation	Low to Medium	High	High	high cost of computation and communication	High	No
Confidential Computing through TEE	High	High	medium to high	need to trust hardware providers	Increasing, expect to mature in about one year	Yes



Privacy Preserving Standards, Regulations and Laws



ISO/IEC 29101:2013 (Information technology – Security techniques – Privacy architecture framework) is one of the oldest standards efforts that handles secure computing. It presents architectural views for information systems that process personal data and show how Privacy Enhancing Technologies such as secure computing, but also pseudonymisation, query restrictions and more could be deployed to protect Personally Identifiable Information.

ISO/IEC 29101 pre-dates the European General Data Protection Regulation (GDPR), so it does not include all the latest knowledge on secure computing and its role in regulation. For example, it is unaware of the view of anonymised processing and using secure computing might actually not be processing in the sense of the law.

ISO/IEC 19592-1:2016 (Information technology – Security techniques – Secret sharing – Part 1: General) focuses on the general model of secret sharing and the related terminology. It introduces properties that secret sharing schemes could have, e.g. the homomorphic property that is a key aspect for several MPC systems.

ISO/IEC 19592-2:2017 (Information technology – Security techniques – Secret sharing – Part 2: Fundamental mechanisms) introduces specific schemes. It starts with the classic ones like Shamir and replicated secret sharing. All schemes are systematically described using the terms and properties from Part 1. There were originally plans to have more parts for this standard that would describe MPC paradigms, but work has not started yet.

ISO/IEC 18033-6 (Information technology security techniques – Encryption algorithms – Part 6: Homomorphic encryption) is a standard on homomorphic encryption schemes

Given the more conservative nature of ISO/IEC when it comes to encryption schemes, it is attempting to focus on the ones with multiple known industrial uses. However, as it is still work in progress, it is unclear how it will turn out in the end.

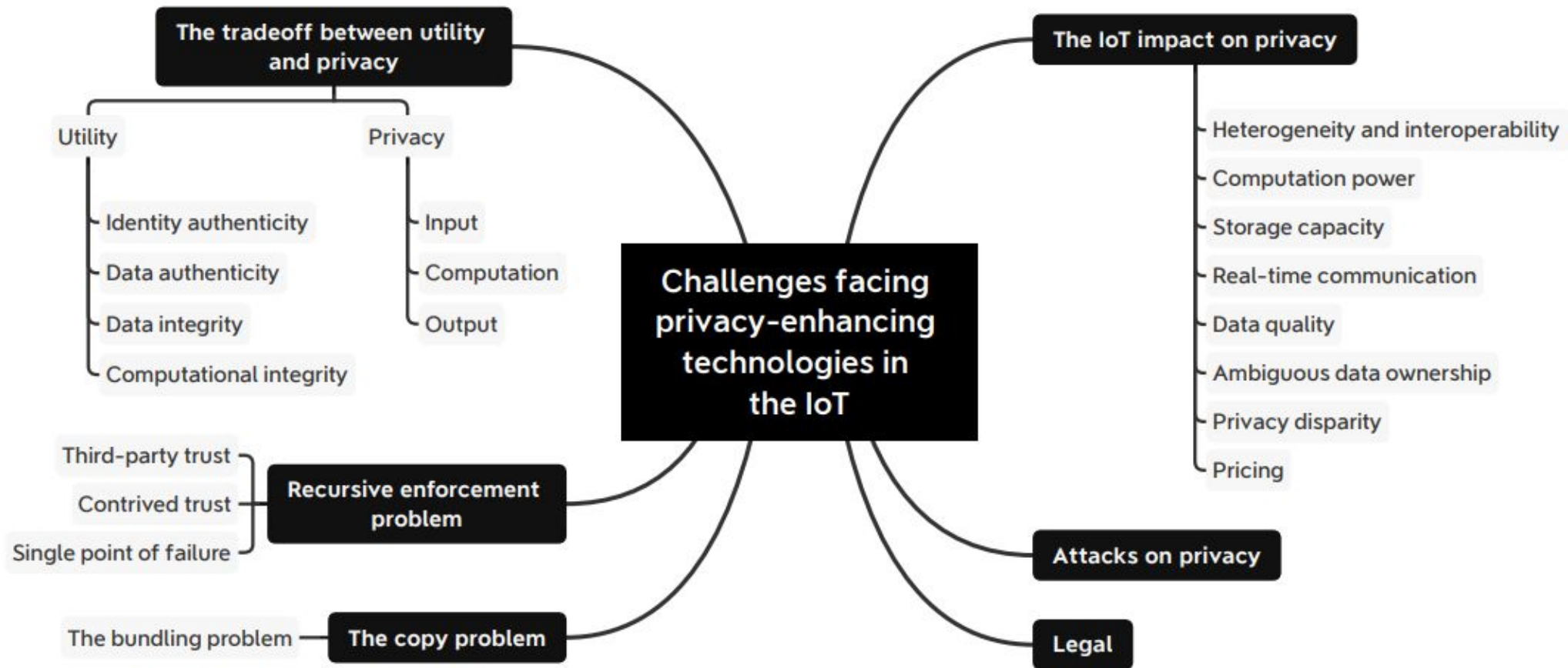
The **Homomorphic Encryption Standardization Initiative**²² is an open standardisation initiative for fully homomorphic encryption with participants from industry, government and academia. The initiative attempts to build broad community agreement on security levels, encryption parameters, encryption schemes, core library API, and eventually the programming model, with the goal of driving adoption of this technology.

ISO/IEC 20889 (Privacy enhancing data de-identification terminology and classification of techniques) is another project that approaches privacy technologies a bit differently. This project will result in a standard that describes ways to turn identifiable data into de-identified data. Here, the choices include various noise-based techniques, cryptographic techniques and more.

Privacy Preserving: Challenges and Opportunities to Use in Production



Opportunities and Challenges



Source: <https://blog.openmined.org/classifying-the-challenges-of-privacy-enhancing-technologies-pets-in-iot-data-markets/>

Classification of challenges in Production

- The Narrow Challenges
- The Broad Challenges

The Narrow Challenges

- The Utility and privacy trade-off:
 - A dilemma of preserving the privacy and deriving useful insight from data
 - PETs ensure plausible deniability, but could reduce data authenticity
- The Recursive Enforcement Problem (REP):
 - Manifests itself in a multi-layered supervision structure
 - Issue of trust and cost of third party supervision affects PETs maturity
- The Copy Problem (CP):
 - One could lose control of data as it becomes tradable asset
 - Fear of potentially forgoing the benefits derived from shared data



The Broad Challenges

- The attacks on privacy:
 - Data re-identification
 - Could be any of data forwarding, roles collision, or side channel attacks
- The Legal challenges:
 - Laws appears reactionary rather than proactive
 - Stringent privacy regulation could stifle free markets and innovations
- The IoT impact on privacy
 - Constrained by such factors as context and employed technologies
 - More details on the next slide



The Shortcomings of Edge Computing

- Heterogeneity and Interoperability
- Computation power
- Storage capacity and real-time communication
- Data quality
- Ambiguous data ownership
- Privacy disparity
- Pricing



Summary of Challenges of Edge Computing

- Establish thresholds that balance the trade-off between data authenticity and privacy
- Maturity of PETs could help reduce the recursive enforcement problem and the copy problem



Challenges in Production

1. Reduce computation and communication overhead on encrypted data for resource limited nodes/parties, for example, data size after FHE expands to 1 to 4 orders of magnitude. The bottlenecks lie in the node/party which has the smallest computation resource and network resource
2. Federated learning requires all nodes/parties to be online, perform collaborative and synchronized compute and communication. Thus avoiding straggler-nodes/parties and synchronization is important.
3. Interconnectivity between different nodes/parties is important. Due to different privacy preserving algorithms, communication between nodes/parties require a common protocol.
4. Avoiding reinventing wheels, reducing overhead for deployment cluster with different cloud providers. Share common APIs for parties who stores their data on various platforms.



Future Work in Production

1. Optimization on algorithms, de-couple computation modules, re-orchestration of calculation modules.
2. Reduce communication overhead by reducing communication frequency, and communication data size.
3. Tracing and identification of resource limited nodes/parties, define the traces to be collected.
4. Integrity preserving tracing and logging system for federated learning platforms.
5. Using C++/C and compiler optimization for accelerating algorithm implementation.
6. Using hardware acceleration (GPU, TPU, FPGA, ASIC) for acceleration of new encryption technologies and privacy preserving related protocols.
7. Pipeline scheduling for data consumption, data read/write, data encryption/decryption, data transportation, computation and storage.
8. Safety and security measurements and grading for the federated learning platforms.
9. Automatic evaluation and standardization of federated learning platforms for its performance.
10. Enhance explanatory of federated learning.



Thanks for listening

